

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2023

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Class of Instrument	Equity (AA99)
Ticksize	AB
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	69,68%	69,55%	100,00%	0,00%	2,67%
New York-NYSE	15,56%	8,90%	100,00%	0,00%	18,90%
London-SWX Europe	4,62%	5,02%	100,00%	0,00%	0,00%
London	4,15%	4,68%	100,00%	0,00%	9,93%
Xetra	3,60%	6,22%	100,00%	0,00%	6,69%
Others	2,40%	5,64%			
Total	100,00%	100,00%			

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Class of Instrument	Equity (AA99)
Ticksize	CD
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	34,43%	34,52%	100,00%	0,00%	7,46%
New York-NYSE	32,33%	14,08%	100,00%	0,00%	19,64%
Paris	5,54%	6,70%	100,00%	0,00%	3,26%
New York-NASDAQ	4,17%	6,70%	100,00%	0,00%	51,63%
Toronto TSE	3,89%	2,37%	100,00%	0,00%	0,00%
Others	19,65%	35,63%			
Total	100,00%	100,00%			

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Class of Instrument	Equity (AA99)
Ticksize	EF
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NYSE	21,70%	26,80%	100,00%	0,00%	1,28%
Xetra	20,61%	19,40%	100,00%	0,00%	5,86%
New York-NASDAQ	18,72%	12,75%	100,00%	0,00%	4,15%
Paris	13,11%	13,41%	100,00%	0,00%	1,68%
Kopenhagen	6,08%	5,24%	100,00%	0,00%	0,55%
Others	19,79%	22,40%			
Total	100,00%	100,00%			

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Class of Instrument	Equity (AA99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	48,78%	34,59%	100,00%	0,00%	2,27%
Ausserbörslich	24,10%	0,39%	100,00%	0,00%	0,00%
New York-NASDAQ	19,17%	54,56%	100,00%	0,00%	5,19%
New York-NYSE	5,35%	8,81%	100,00%	0,00%	8,93%
Frankfurt	1,12%	0,08%	100,00%	0,00%	100,00%
Others	1,48%	1,57%			
Total	100,00%	100,00%			

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Class of Instrument	Equity certificates (AB99)
Ticksizes	AB
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NYSE	94,30%	89,05%	100,00%	0,00%	6,37%
New York-NASDAQ	3,97%	10,38%	100,00%	0,00%	60,71%
London	0,63%	0,10%	100,00%	0,00%	25,00%
Frankfurt	0,44%	0,16%	100,00%	0,00%	100,00%
New York-OTC	0,27%	0,16%	100,00%	0,00%	83,33%
Others	0,38%	0,16%			
Total	100,00%	100,00%			

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Class of Instrument	Equity certificates (AB99)
Ticksiz	CD
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	43,53%	81,22%	100,00%	0,00%	1,83%
New York-NYSE	31,46%	9,57%	100,00%	0,00%	35,56%
New York-NASDAQ	21,49%	8,58%	100,00%	0,00%	78,51%
Frankfurt	2,94%	0,28%	100,00%	0,00%	100,00%
Stuttgart	0,46%	0,28%	100,00%	0,00%	100,00%
Others	0,13%	0,07%			
Total	100,00%	100,00%			

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Class of Instrument	Equity certificates (AB99)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NASDAQ	95,16%	99,24%	100,00%	0,00%	0,00%
New York-NYSE	4,84%	0,76%	100,00%	0,00%	0,00%
	0,00%	0,00%	0,00%	0,00%	0,00%
	0,00%	0,00%	0,00%	0,00%	0,00%
	0,00%	0,00%	0,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Exchange Traded Funds (AC99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	86,00%	66,09%	100,00%	0,00%	3,97%
Xetra	12,30%	32,25%	100,00%	0,00%	18,81%
London	1,42%	1,12%	100,00%	0,00%	9,39%
Dublin	0,09%	0,08%	100,00%	0,00%	0,00%
Zürich	0,06%	0,09%	100,00%	0,00%	9,76%
Others	0,13%	0,38%			
Total	100,00%	100,00%			

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Class of Instrument	Certificates (AD99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	99,20%	99,78%	100,00%	0,00%	1,77%
Frankfurt	0,43%	0,07%	100,00%	0,00%	0,00%
Stuttgart	0,37%	0,15%	100,00%	0,00%	0,00%
Andere	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	other equity related instruments (AE99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	86,92%	35,06%	100,00%	0,00%	8,20%
Stuttgart	10,54%	3,45%	100,00%	0,00%	16,67%
Xetra	1,57%	30,46%	100,00%	0,00%	1,89%
Madrid-Merc.Cont.	0,90%	25,86%	100,00%	0,00%	2,22%
Hongkong	0,05%	1,72%	100,00%	0,00%	0,00%
Others	0,02%	3,45%			
Total	100,00%	100,00%			

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Class of Instrument	Government bonds (BA10)
Ticksizes	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	98,00%	85,89%	100,00%	0,00%	0,33%
Stuttgart	2,00%	14,11%	100,00%	0,00%	0,72%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Other bonds (BA11)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	98,84%	95,95%	100,00%	0,00%	0,17%
Stuttgart	1,16%	4,05%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Convertibles (BA12)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	100,00%	100,00%	100,00%	0,00%	50,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Debentures (BA13)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	94,08%	80,75%	100,00%	0,00%	0,13%
Stuttgart	5,92%	19,25%	100,00%	0,00%	1,09%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Corporate Bonds (BA14)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	77,43%	47,22%	100,00%	0,00%	2,05%
Stuttgart	21,91%	52,24%	100,00%	0,00%	3,64%
Frankfurt	0,45%	0,35%	100,00%	0,00%	10,53%
Kopenhagen	0,12%	0,02%	100,00%	0,00%	0,00%
New York-NYSE	0,06%	0,06%	100,00%	0,00%	0,00%
Others	0,03%	0,11%			
Total	100,00%	100,00%			

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Class of Instrument	Other Bonds (BA15)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	88,28%	63,98%	100,00%	0,00%	0,56%
Stuttgart	10,89%	34,82%	100,00%	0,00%	0,35%
Hamburg	0,63%	1,08%	100,00%	0,00%	22,22%
Luxemburg	0,19%	0,12%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument Structured Products (BB99)
 Ticksizes --
 Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Frankfurt	100,00%	100,00%	100,00%	0,00%	8,33%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Securitized equity derivatives (BE21)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Stuttgart	98,75%	99,40%	100,00%	0,00%	1,89%
Frankfurt	1,00%	0,39%	100,00%	0,00%	5,88%
Zürich	0,25%	0,18%	100,00%	0,00%	0,00%
New York-NYSE	0,00%	0,02%	100,00%	0,00%	100,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Securitized commodity derivatives (BE22)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	80,72%	29,33%	100,00%	0,00%	0,74%
Stuttgart	19,25%	70,51%	100,00%	0,00%	0,08%
London	0,03%	0,16%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument	Securitized derivatives (BE99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Stuttgart	50,79%	41,67%	100,00%	0,00%	0,00%
Bloomberg MTF	22,46%	20,83%	100,00%	0,00%	6,67%
München	10,09%	1,39%	100,00%	0,00%	100,00%
Xetra	6,49%	23,61%	100,00%	0,00%	94,12%
Frankfurt	4,59%	1,39%	100,00%	0,00%	0,00%
Others	5,59%	11,11%			
Total	100,00%	100,00%			

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Class of Instrument	ETPs (BF99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	89,75%	69,74%	100,00%	0,00%	4,60%
Xetra	9,13%	27,25%	100,00%	0,00%	11,40%
Stuttgart	0,67%	1,20%	100,00%	0,00%	33,33%
Paris	0,28%	0,60%	100,00%	0,00%	50,00%
London	0,17%	1,20%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument ETNs (BG99)
 Ticksizes --
 Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	99,98%	96,50%	100,00%	0,00%	2,72%
Xetra	0,02%	3,50%	100,00%	0,00%	31,25%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument without further disclosure (C999)

Ticksize --

Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Hamburg	79,06%	47,83%	100,00%	0,00%	0,00%
Stuttgart	20,92%	43,48%	100,00%	0,00%	20,00%
Frankfurt	0,02%	4,35%	100,00%	0,00%	0,00%
Hongkong	0,00%	4,35%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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Class of Instrument ETD (BD)

Ticksize --

Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex	56,35%	23,58%	100,00%	0,00%	0,00%
Chicago Mercantile	40,18%	37,46%	100,00%	0,00%	0,00%
London	3,31%	0,00%	100,00%	0,00%	0,00%
Milan	0,17%	4,68%	100,00%	0,00%	0,00%
Others	0,00%	34,29%			
Total	100,00%	100,00%			